INFORMATION SECURITY

INFORMATION MANAGEMENT

RISK MANAGEMENT

INFORMATION LIFECYCLE MANAGEMENT

COMPLIANCE MANAGEMENT

STORAGE RESOURCE MANAGEMENT

BENEFITS

- Summarizes the compliance legislation relevant to your organization
- Evaluates the processes and controls within your data storage infrastructure
- Assesses your compliance with the underlying principles of the relevant legislation
- Identifies compliance risks resulting from missing or inadequate controls

kasten chase

SECURE INFORMATION MANAGEMENT

Assurency Storage Security
Compliance Audit Service

Value Proposition

The Assurency® Storage Security Compliance Audit Service enables organizations to rapidly assess the compliance of their storage infrastructure with privacy and corporate governance legislation such as SOX, SEC 17A-4, California Senate Bill 1386, PIPEDA, GLBA and HIPAA. The service evaluates and prioritizes compliance risks and control gaps to reduce risk, identify corrective action and improve compliance-readiness.

Business Problem

- Compliance obligations are demanding and complex
- Regulatory requirements are vague – speculation is required
- Expectations for the care of information assets are diverse
- Organizations struggle with requirements for:
  - > Operational Controls
  - > Documented Process Flows
  - > Activity and Progress Reports
  - > Compliance Audits

Impact

- Stored information may be vulnerable to a range of threats
- Senior management is increasingly interested in IT controls and information risk
- Organizations cannot readily identify and benchmark compliance related security controls
- Organizations cannot easily prioritize and cost-justify corrective action

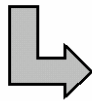Compliance Requirements → Operational Best Practices

Approach

- Assessment of data storage infrastructure
- Audit of information management and security policies, procedures, and controls against compliance principles for privacy and corporate governance
- In-depth, onsite interviews with key personnel
- Compliance gap analysis based on industry-standard controls and best practices

| Controls and Best Practices	Compliance Principles	Compliance Regulations
• ISO 17799 • COSO • CobiT • NIST • Industry Associations	• Accountability • Auditability • Notification • Limiting Retention • Proportionality • Accuracy • Confidentiality • Integrity • Availability	• SOX • SEC 17a-4 • CA SB 1386 • FISMA • GAPP • Other relevant legislation

Deliverables

Compliance-Readiness Report

- A summary of the relevant legislation
- 'Compliance-readiness' scores against the underlying compliance principles
- Assessment results for each identified control
- Risk implications and corrective actions for inadequate or missing controls
- In-depth analysis of high-priority control gaps
- Key findings in an executive presentation
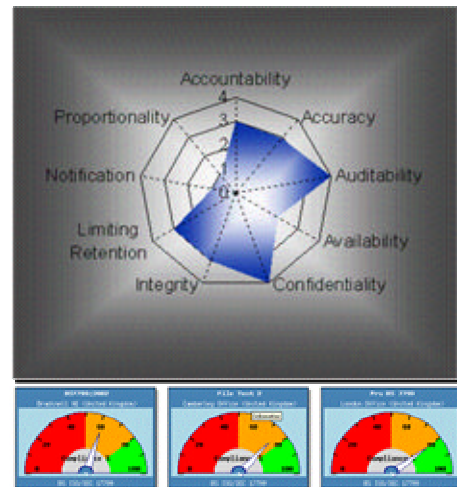
kasten chase

SECURE INFORMATION MANAGEMENT

For more information, please visit www.kastenchase.com or call 1.800.263.1448.

About Kasten Chase
Kasten Chase provides standards-based business services for the enterprise storage security market. These services enable organizations to evaluate and implement storage security solutions which mitigate corporate and executive risk, address regulatory compliance and streamline storage operations. With a focus on education, best practice assessments and business case analysis, these services provide a powerful set of tools for corporations seeking to maximize their storage investment.